#### Case 9:21-cr-00029-DLC Document 82 Filed 02/23/22 Page 1 of 38

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# WE ALERT THE COURT THAT THIS IS AN EMAIL CHAIN AND SHOULD BE READ FROM THE BOTTOM OF PAGE 3 UP TO PAGE 1. ATTACHMENTS FOLLOW ON PAGE 5.

From:

Feuerstein, Stephen V.

To:

Clark, Jennifer (USAMT); Sprenger, Michael R.

Subject:

FW: Need help

Date: Attachments: Thursday, September 16, 2021 11:04:45 AM

nts: 4473 Forms.pdf image001.jpg

image001.jpg image003.jpg

Missoula DV Warning letter.docx NICS Admonishment Letter.doc

image002.jpg

Importance:

High

Well, I was correct they have been purged and NICS did not complete their investigation properly, thus they allowed a prohibited person to have the firearms. With no court of record to prove he was provided the admonishment this is not a good situation. Sorry to be the bearer of bad news. Below is the information from our NICS liaison which confirms all. I have drafted two letters, admonishment and notification, feel free to utilize either, both, whatever is needed to provide to DEFRANCE's attorney. The second letter actually has an acknowledgement form as the last page which has blank spots for the third party and DEFRANCE to fill in and acknowledge. This is what we utilize for such occasions, actually use these when we get a NICS or learn of a prohibited person who has acquired firearms with there being an agent of the state providing based on no response from NICS.

Stephen (Steve) Feuerstein Investigative Analyst (IA) DFD NICS Coordinator ATF Billings Field Office 2929 3<sup>rd</sup> Ave. North Ste. 528 Billings, MT. 59101 Ph. 406-657-9700 Fax. 406-657-9701

From: Pickles, Dana <Dana.Pickles@atf.gov>
Sent: Thursday, September 16, 2021 10:03 AM

To: Feuerstein, Stephen V. <Stephen.Feuerstein@atf.gov>

Cc: Kimes, Christine N. <Christine.Kimes@atf.gov>; Reeves, Carol A. <Carol.Reeves@atf.gov>

**Subject:** FW: Need help **Importance:** High

Hi Stephen.

Christine is out of the office until Tuesday and is on a detail until 10/11. I will try to assist you with your request.

You reference denied, proceeded and "no answer" transactions for this

GOVERNMENT EXHIBIT subject. The DENI branch only gets denied transactions from FBI NICS. We do not get proceeds or delays (which I'm guessing are the ones you referred to as "no answer"). Proceeds are purged by NICS the night they are proceeded and are gone forever by law. If no decision is ever made on a delayed transaction they are purged by NICS after 88 days and are gone forever by law.

Looking at the NTNs on the attached 4473's you submitted I found the following:

- NTN 2TLN6WG the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
- 2. NTN 10015ZV34 the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
- 3. NTN 100JMSSST the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.
- 4. NTN 100JW6NZT— the 4473 was marked DELAYED (box 19c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
- 5. NTN 100PYP1ZR the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.

In summary, I do not see where the subject was every denied on any of the attached 4473's. The subject was proceeded twice and left in a delayed status

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on the other three transactions and I can only assume those NTNs were never resolved and thus purged by NICS after 88 days. As the FFL is allowed to transfer the firearm(s) after 3 business days all the transfers were done legally.

I hope that helps.

Dana Pickles **Program Analyst** Denial Enforcement & NICS Intelligence Branch US Dept. Of Justice Bureau of ATF 304.616.4181

ATF LGOG NEW 2
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Please consider the environment before printing this e-mail.

From: Reeves, Carol A. < Carol.Reeves@atf.gov> Sent: Thursday, September 16, 2021 11:38 AM To: Pickles, Dana < Dana.Pickles@atf.gov>

Cc: Feuerstein, Stephen V. < Stephen. Feuerstein@atf.gov>

Subject: FW: Need help

Importance: High

#### Dana,

Since you are acting for Christine, can you help Stephen? I can't locate any of the ntn's listed on the forms in ANR as well.

#### **Thanks**

# Carol Ann Reeves

Sr. Specialist, Denial Enforcement and NICS Intelligence Branch 244 Needy Road Martinsburg, WV 25401 (304) 616-4182 Toll Free Fax (866) 561-2048 email:Carol.Reeves@atf.gov

From: Feuerstein, Stephen V. < Stephen. Feuerstein@atf.gov>

Sent: Thursday, September 16, 2021 11:33 AM

To: Kimes, Christine N. <<u>Christine.Kimes@atf.gov</u>>; Reeves, Carol A. <<u>Carol.Reeves@atf.gov</u>>

Subject: Need help

Christine and or Carol, we have a subject here in MT that has had multiple transactions, some

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denied, some proceed and some no answer from NICS. I tried to pull up the Brady reports in ANR and they must have been purged or not entered as there was a proceed or no action was ever entered. The US Attorney has charged the subject and he is claiming that the government never told him he was prohibited by his DV conviction. Unfortunately the court issuing the DV conviction was not a court of record at that time and we have no way of determining if the judge advised of the prohibition. As such I am trying to obtain the Brady reports or any of the information that NICS input into their research on each NTN for each purchase.

Who do I need to contact to help with this? Is this even a possibility? I have attached each 4473 which contain the NTN #'s. Thank you for your help.

Stephen (Steve) Feuerstein Investigative Analyst (IA) DFD NICS Coordinator ATF Billings Field Office 2929 3<sup>rd</sup> Ave. North Ste. 528 Billings, MT. 59101 Ph. 406-657-9700 Fax. 406-657-9701

# Case 9:21-cr-00029-DLC Document 82 Filed 02/23/22 Page 5 of 38 Case 9:21-cr-00029-DLC Document 63 Filed 11/19/21 Page 5 of 31

SUBJECT: JOEL CHRISTENSEN, STORE MANAGER, CASH1PAWNS,

8720 ROLLERCOASTER RD., MISSOULA, MT 59808; (406)

728-2274

CASE: MICHAEL DEFRANCE

Mr. Defrance purchased and redeemed the following firearms -

- 1) Purchased a Taurus Judge in February 2015,
- 2) Redeemed a Remington 770 in September 2016,
- 3) Redeemed a Stevens 200 in March 2018 (and appears to have sold back the Taurus Judge for the money to redeem the Stevens 200)
- 4) Purchased a Smith & Wesson Model 60 Ladysmith in March 2018,
- 5) Redeemed the Remington 770 for the second time in August 2018.

Joel provide the 4473 form for each transaction.

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U.S. Department of Justice Burpay of Alcohol, Tobacco, Firearms and Explosives Firearms Transaction Record Part I -		
Over-the-Counter		
WARMING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will Transferor	c Trans	ection
be used to determine whether you are prohibited under law from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. §§ 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.		
Prepare in original only. All entries must be handwritten in ink. Read the Notices, Instructions, and Definitions on	342	5
this form. "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee (Buyer)		
1. Transferce's Full Name	-	<del></del>
Last Name Middle Name (If no middle name, s	tate "N	MN")
2. Quirent Residence Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)		
Number and Street Address   City   County   State   ZIP	Code	
309 PEname Dixon Sanders 11759	182	
3. Place of Birth U.S. City and State  OR- Foreign Country  4. Height 5. Weight 6. Gender 7. Birth Date  U.S. City and State  OR- Foreign Country  Ft. 5 (Lbs.)  Male Month Day	(ear	
Salam oregon In. 11 140 Female 07 11	199	B
8. Sapial Security Number (Optional, but will help prevent misidentification)  9. Unique Personal Identification Number (UPIN) if applied Instructions for Question 9.)	able (S	ek
10.a. Ethicity 10.b. Race (Check one or more boxes.)		+-
Heppanic or Latino American Indian or Alaska Native Black or African American White		
Not Hispanic or Latino Asian Native Hawaiian or Other Pacific Islander		4-
11. Answer questions 11.a. (see exceptions) through 11.1 and 12 (frapplicable) by checking or marking "yes" or "no" in the boxes to the right of the que a. Are you the actual transferee/buyer of the firearm(4) listed on this form? Warning: You are not the actual buyer if you are	Yes	No
acquiring the firearm(s) on behalf of another person. If you are not the actual buyer, the dealer cannot transfer the firearm(s to you. (See Instructions for Question 11.a.) Exception: If you are picking up a repaired firearm(s) for another person, you are no		P
required to answer 11.a. and may proceed to question 11.b.  b. Are jou under indictment or information in any court for a felony, or any other crime, for which the judge could imprison you for	Yes	100
more than one year? (See Instructions for Question 11.b)  . Have you ever been convicted in any court of a felouy, or any other crime, for which the judge could have imprisoned you for more	Yes	No
than one year, even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)	Yes	188
1. Are you a fugitive from justice?		Ne
Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?  Have you ever been adjudicated mentally defective (which includes a determination by a court, board, commission, or other lawful	10	岡
authority that you are a danger to yourself or to others or are incompetent to manage your own affairs) OR have you ever been	Yes	图
committed to a mental institution? (See Instructions or Question 11f.)  Have you been discharged from the Armed Forces under dishonorable conditions?	Yes	12
Are you subject to a court order restraining you from parassing, stalking, or threatening your child or an intimate partner or child of	Yes	No
such partner? (See Instructions for Question 11.h.)	Yes	No
Have you ever been convicted in any court of a misdemeanor crime of domestic violence? (See Instructions for Question 11.L.)	Yes	No.
Have you ever renounced your United States citizenship?	Yes	NS.
Are you an alien illegally in the United States?  Are you an alien admitted to the United States under a nonimmigrant visa? (See Instructions for Question 11.1.) If you answered		Nº E
"no" to this question, do NOT respond to question 12 and proceed to question 13.	Yes	置
If you are an alien admitted to the United States under a nonimmigrant visa, do you fall within any of the exceptions set forth in the instructions (If "yes," the licensee must complete question 20c.) (See Instructions for Question 12.) If question 11.1, is answered	Yes	M <sub>C</sub>
with a "no" response, then do NOT respond to question 12 and proceed to question 13.  What is your State of residence 14. What is your country of citizenship? (List/check more than 15. If you are not a citizen of the		
if any)? See Instructions for one, if applicable. If you are a citizen of the United States, what is your U.Sissued alient proceed to question 16.) United States of America admission number?	numbe	ror
M7 Other (Specify)		
Previous Editions Are Obsolete Transferee (Buyer) Continue to Next Page ATF Porm 4473 ( STAPLE IF PAGES BECOME SEPARATED Revised April 201		art i

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										that a person who answers that a person who answer to question 12. I also unde				
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-	H			6700	eur,	Soc	tion	R - Must Ra Con		ted By Transferor (Seller)		12-4.	15	
18.	Гу	pe of firearm	(s) to be	ransferre	d (check	kori	nark	all that apply):	pic	19. If sale at a gun show or	other and	1:6.:		
		Handgun [	Long	i	•			Frame, Receiver,	pic	13. If suite at a guil show of	omer que	urying event.		
	Ť	,	(rifle	or 🖵	See In	stru	tions	for Question 18.	)	Name of Event		<u>.                                    </u>		
	L		shotg	1 1	1				1	City, State				
20a	Ic	entification (	eg., Virgi	nia Driver	's license	(VA	DL) d	r other valid gover	nne	nt-issued photo identification.)	See Instru	tions for Ouestio	n 20.a.)	
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<b>200.</b>	9	ant visa proh	ibition.	United St See Instr	ntes Und	ier a for (	Noni	mmigrant Visa M	lust	Provide: Type of document	ation show	ving an excepti	on to the nor	immi-
				1000 21.00		,0, 5		on 20.c.)						
		Questions 21	, 22, or	3 Must	Be Com	plete	d Pr	or To The Tran	sfer	Of The Firearm(s) (See Ins	tructions	Cor Ougation 2	. 22	
21a.	-	*** ****	~~~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~ ~	744 baa y 241 ja, .	minorman		11 350	KIDII A WXX ITXIIXI	nit-	21b. The NICS or State trans	nsaction r	umber (if provi	1, 22 ana 23 ided) was:	.)
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21c.	T	ne response in	itially p	rovided b	y NICS	or th	e app	ropriate State	:	21d. If initial NICS or State	e response	was "Delayed	" the follow	ring
	Li Se	ency was:								response was received	from NI	S or the approp	priate State	gency:
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22.		No NICS c	heck wa	s required	because	e the	trans	fer involved only	Na	tional Firearms Act firearm(s	). (See In	structions for C	hiestian 22	
23.	H	No NICS o	heck wa	s recuire	1-ресоне	e the	buve	r has a valid no-	nit f	rom the State where the trans		, , , , , ,		
	Н			(	ructions	for	Quesi	ion 23.)	։	tom the state where the frans	srer is to t	ake place, whic	h qualifies d	s an
Issu	ng	State and Per	rmit Typ	e				lance (if any)	1	Expiration Date (if any)	Pe	rmit Number <i>(i</i> j	fany)	
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If th	tr.	ansfer of the	firearm(s	takes n	Section	n C	·Mus	it Be Completed	Per	sonally By Transferee (Bu	yer)			
										t the transferee (buyer) signers for Question 24 and 25.)		A, the transfer	ee must com	plete
A COL	щγ	that my ans	Mera to	the quest	ions in	Sect	on A	of this form are	stil	l true, correct and complete	e.	<del></del>		
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	Section D - M	ust Be Completed By	Transferor (Seller)		
26. Manufacturer and/or Importer (If the manufacturer and importer are different, the FFL should include both.)	27. Model	Seria	28. I Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for question 29)	30. Caliber or Gauge
Taurus	The Sudge	ETYSE	209	Revolver	4566/.410
	X		<u> </u>	$\mathbf{X}$	
	/ \		<del></del>		-
30a. Total Number of Firearms (Please ha 30c. For Use by FFL (See Instructions for	DNL			30b. Is any part of this Pawn Redemption?	ransaction a
Complete ATF Form	3310.4 For Multi	ple Purchases of Han	dguns Within 5 Consec	utive Business Days	
31. Trade/corporate name and address of t used.)	ransferor (seller) (	Hand stamp may be	32. Federal Firearms Lic	ense Number (Must cont gits of FFL Number X-X	ain at least first X-XXXXX.)
87	ash 1 Paw 720 Roller Coaster Ro Missoula, MT 59808	d.	9-81-063-	02-6E-01841	
The Person Transferring	The Firearm(s) M	Iust Complete Quest	ons 33-36. For Denied/	Cancelled Transaction	8,
The I	erson Who Comp	leted Section B Must	Complete Questions 33	-35.	
I certify that my answers in Sections B and on ATF Form 4473. On the basis of: (1) tipleted); (2) my verification of the identific day Section A was completed); and (3) the me to sell, deliver, transport, or otherwise	he statements in Sec ation noted in quest information in the c dispose of the firea	ction A (and Section C tion 20a (and my rever current State Laws and rm(s) listed on this for	if the transfer does not oc ification at the time of tra   Published Ordinances. it	cur on the day Section A nsfer <i>if the transfer does</i> is my belief that it is no	was com-
33. Transferor's/Seller's Name (Please pr	int) 34. Transfero	r's/Seller/s Signature	35. Transferor's/		Date Transferred

NOTICES, INSTRUCTIONS AND DEFINITIONS

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. §§ 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (rifle or shotgun) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and the buyer's State.

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), as long as all of the seller's completed Forms 4473 are filed in the same manner. FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name) or chronological (by date of transferce's certification) order.

If you or the buyer discover that an ATF Form, 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

#### Section A

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (other than the signature) may be completed by another porson, excluding the seller. Two persons (other than the seller) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the

ATF Form 4473 (5300.9) Part I Revised April 2012

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#### 2/9/2015

#### · FBI NICS E-Check System

FBI NICS E-Check - Search Request Details

NTN 2TLN6WG

Created Date 02/04/2015 22:20:15

Expiration Date 03/06/2015

Last Name DE FRANCE

First Name MICHAEL

Middle Name BLAKE

Cadence (Ex. Jr., Sr., III) Not Supplied

Gender М Height 511 Weight 140 Race and/or Ethnicity WHITE Date of Birth 7/11/1993 Place of Birth **OREGON** Social Security No 540430932 **UPIN** Not Supplied Miscelianeous No

Miscellaneous No Not Supplied
Miscellaneous Field Not Supplied
State of Residence MONTANA
Citizenship US Citizen

Countries of Citizenship UNITED STATES OF AMERICA

Purpose ID Sale of Handgun

StatusDELAYSubmit UserSSETHNEYRetrieved BySSETHNEY

 Status Date
 02/09/2015 00:02:19

 Retrieved Date
 02/09/2015 18:46:21

Print Page

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OMB No. 1140-0020

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

# Firearms Transaction Record Part I - Over-the-Counter

				O 101 (1)	-						
WARNING: You may not recel- be used to determine whether yo Control Act, 18 U.S.C. §§ 921 et.	u are prohibited	l under law	from receivi	ng a firearm	. Certai	n viols	tions of the Gu	a Serial	feror's Tran Number (I)	(any	
Prepare in original only. All ent this form, "PLEASE PRINT."						·		' R.	1000S	m	
- 4	Section A	- Must Be C	Completed P	ersonally By	Transfe	reo (B	uyer)				
Transferce's Full Name Last Name		First Name				[N	fiddle Name (If n	o middle na	me, siaie "N	IMN")	
DEFRANCE		MICHAE	_				BLAKE				
Current Residence Address (I Number and Street Address 509 PENAME	U.S. Postal abbr	eviations ar City DIXON	e acceptable	. Cannot be	a post o	Count	•	State MT	ZIP Code 59831		
3. Place of Birth			4. Height	5. Weight	6. Gen	der	7. Birth Date				
U.S. City and State -OR	l- Foreign Cou	ntry	Pt. 5	(Lhs.)	-	ale	Month	Day	Year		
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8. Social Security Number (Option	mal hut will heln	nyayant mield			البيا				1		
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Hispanic or Latino	American Indi	an or Alaska	Native	Black or Afri	can Ame	rican		White			
Not Hispanic or Latino	Asian			Native Hawa	iian or O	ther Pa	scific Islander				
11. Answer questions 11.a. (see except	tions) through 11.1.	and 12 (if app	olicable) by ch	ecking or mark	ne "pes"	or ano	"in the boxes to th	e right of the	ouestlone		
a. Are you the actual transferee/b	uyer of the fireat	m(s) listed o	m this form?	Warning: \	ו מינם נוסי	not the	actual haver if	VON OFO		No	
acquiring the tirearm(s) on b	ehalf of another	person. If	vou are not	the actual br	ver, the	dealer	r cannot transfa	r the fires	mm/eV ====		
to you. (See Instructions for (	Juestion II.a.) E	xception: If	you are pick	ing up a repo	ired fire	arm(s)	for another pers	on, you ar	e not	-	
b. Are you under indictment or in	n <i>ay proceed to gi</i> iformation in any	court for a	felony, or an	v other crime	for whi	ch the	Indea could impr	Inchian &	<u> </u>	<del>                                     </del>	
more than one year? (See Inst.	ructions for Oue	tion 11.b.)						~	1 [	No ⊠	
c. Have you ever been convicted	in any court of a	felony, or a	ny other crim	e, for which	the judge	could	have imprisoned	you for m	ore Yes	No	
than one year, even if you rece	ived a shorter se	ntence includ	ding probatio	n? <i>(See Instr</i>	uctions f	or Que	stion 11.c.j		$\Box\Box$	図	
d. Are you a fugitive from justice		·		*******					Yes	No X	
e. Are you an unlawful user of, or										Nº X	
f. Have you ever been adjudicate	d montally defec	tive <i>(which i</i>	ncludes a de	termination b	y a court	, board	d, commission, or	other law	ful	1231	
authority that you are a danger committed to a mental instituti	r to vourself or to	others or a	re incompete	nt to manage	your ow	n affait	rs) OR have you	ever been	Yes	No ⊠	
g. Have you been discharged from				conditions?				<del></del>	Yes	No X	
h. Are you subject to a court orde such partner? (See Instruction.	er restraining you	from harass	ing, stalking,	or threatenin	g your c	hild or	an intimate partr	er or child	of Yes	No	
i. Have you ever been convicted			r crime of dor	nestic violenc	e? (See	Instruc	tions for Ouestic	on 11.1.)	Yes	No	
j. Have you ever renounced your			···	-					Yes	以際	
k. Are you an alien illegally in th	e United States?	-							Yes	No No	
1. Are you an alien admitted to the	ne United States	under a nonli	nımigrant vis	a? (See Instr	uctions f	or Que	stlon [].[.) If vo	u answered		X	
"no" to this question, do IVOI	respond to ques	tion 12 and p	proceed to qu	estion 13,					l m	№    X	
12. If you are an alien admitted to instructions? (If "yes," the lice	nsee must comp	lete questio	n 20c.) <i>(See</i>	Instructions	nr Ougel	any of	the exceptions se	et forth in t	he Yes	No	
with a "no" response, then do	instructions? (If "yes," the licensee must complete question 20c.) (See Instructions for Question 12.) If question 11.1. is answered with a "no" response, then do NOT respond to question 12 and proceed to question 13.										
13. What is your State of residence (if any)? (See Instructions for Question 13.)	one, if app	ur country o licable. If ye question 16.	ou are a citiz	(List/check on of the Unit ted States of	ed States	;	If you are not a what is your U. admission numi	Sissued a	he United S lien number	states, or	
MT	Other	(Spectfy)	المجان								
Note: Previous Editions Are Obsolete Page 1 of 6		Transferee STAPLE IF	(Buyer) Co PAGES BE	ntinue to Ne COME SEP	t Page	<del></del>		ATF Form 44 Revised April	73 (5300.9) Pa 2012	n ſ	

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I certify that my answers to Section A are true, on ATF Form 4473. I understand that answerin Federal law, and may also viplate State and/or le 11.k. is prohibited from purchasing or receiving purchasing or receiving a firearm, unless the perwritten statement, or exhibiting any false or mis under Federal law, and may also violate State ar of resale for livelihood and profit without a Federal for Transferce's/Buyer's Signature	ig "yes" to question 11.a. ocal law. I understand t a firearm. I understand rson also answers "Yes" represented identificatio ad/or local law. I further	if I am not the actual bu hat a person who answers I that a person who answers to question 12. I also un in with respect to this tran I understand that the ren	yer is a crime s "yes" to any ers "yes" to c derstand that nsaction, is a etitive nurch	e punishable a y of the questi- question 11.1. is t making any f crime punisha aso of firearm	is a felony under ons 11.b. through is prohibited from false oral or able as a felony is for the purpose					
XMUMAN ho man				X 91/2	2//					
Sect	tion B - Must Be Comple	ted By Transferor (Seller								
18. Type of firearm(s) to be transferred (check or n		19. If sale at a gun show	or other quali	fying event.	•					
Handgun Long Gun Other Fires  (rifles or shotguns)	(rifles or See Instructions for Question 18.) shotguns) City, State									
20a. Identification (e.g., Virginia Driver's license (VA	DL) or other valid governme	nt-issued photo identification,	) (See Instructi	ons for Question	20.a.)					
Issuing Authority and Type of Identification	Number on Identification			ate of Identific Day						
MONTANA COMMERCIAL DRIVE	0704319934111		07	11	2019					
20b. Alternate Documentation (if driver's license of Question 20.b.)	or other identification doc	ument does not show curre	nt residence d	iddress) (See In	istructions for					
20c. Aliens Admitted to the United States Under a grant visa prohibition. (See Instructions for Q	Nonimmigrant Visa Must	Provide: Type of docume	entation showi	ng an exception	n to the nonimmi-					
Questions 21, 22, or 23 Must Be Complete	d Prior To The Transfer	Of The Firegram(e) (Can	Instructions fo	m Ownellows 27	22 ( 22 )					
21a. Date the transferee's identifying information is ted to NICS or the appropriate State agency: (	n Section A was transmit-	21b. The NICS or State t	transaction nu	mber (if provid	, 22 and 23.) led) was:					
Month Day Year ZOI		100015	52V	134						
	transferred on	21d. If initial NICS or State response was "Dstayed." the following response was received from NICS or the appropriate State agency Proceed (date) Denied (date) Cancelled (date)								
permits (optional)]		No resolution w	vas provided v	vithin 3 busines	s days.					
21e. (Complete if applicable.) After the firearm we	Proceed D	Denied Cance		the appropriate	State agency on:					
21f. The name and Brady identification number of	the NICS examiner (Opti	onal)								
(nama)										
22. No NICS check was required because the	transfer involved only Na	(number) ational Firearms Act firearm	m(s). (See Ins	tructions for Q	uestion 22.)					
23. No NICS check was required because the exemption to NICS (See Instructions for	buyer has a valid permit  Ouestion 23.)	from the State where the tr	ansfer is to ta	ko place, which	n qualifies as an					
		Expiration Date (if any)	Per	mit Number (1)	^any)					
Section C	- Must Be Completed Pe	rsonally By Transferce (I	Buyer)	·	<del></del>					
If the transfer of the firearm(s) takes place on a diff Section C immediately prior to the transfer of the fi	erent day from the date the rearm(s). (See Instruction	at the transferee (buyer) sins for Question 24 and 25.	gned Section	A, the transfere	e must complete					
I certify that my answers to the questions in Section 24. Transferee's/Buyer's Signature	ion A of this form are sti	ll true, correct and comp	lete.							
25. Recertification Date										
illichen		Etherne .		10/3/11	<u>/</u>					
	Transferor (Seller) Co STAPLE IF PAGES BEC	ntinue to Next Page COME SEPARATED	-	1117						

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	Section D - M	ust Be Completed By	Transferor (Seller)	<u></u>	
26. Manufacturer and/or Importer (If the manufacturer and importer are different, the FFL should include both.)	27. Model		28. I Number	29. Type (pistol, revolver, rifle, shotgun, receiver, frame, etc.) (See instructions for question 29)	30. Caliber or Gauge
REMINGTON	770	M71863512		RIPLE	243 WIN
	$\overline{}$				$\times$
		- /	<del></del>		
30a. Total Number of Firearms (Please ha			Do not use numerals.)	30b. Is any part of this Pawn Redemption?	transaction a
30c. For Use by FFL (See Instructions for 406-246-32)	28				
31. Trade/corporate name and address of t used.) CASH 1 PAWN, INC. 8720 ROLLER COASTER RD. MISSOULA, MT 59808	1 331U.4 FOR Multi ransferor (seller) (.	ple Furchases of Har Hand slamp may be	three and last five d (Hand stamp may be	cense Number (Must con lights of FFL Number X-X	tain at least first X-XXXX.)
The Development of the Control	mi m		9-81-01841		
The Person Transferring The I	The Firearm(s) Nerson Who Comp	Aust Complete Quest Pleted Section B Musi	ions 33-36. For Denied Complete Questions 3	/Cancelled Transaction 3-35.	18,
I certify that my answers in Sections B an on ATF Form 4473. On the basis of: (1) tipleted); (2) my verification of the identific day Section A was completed); and (3) the ime to sell, deliver, transport, or otherwise 33. Transferor's/Seller's Name (Please property of the identification of identific	d D are true, correcte statements in Secation noted in ques information in the edispose of the firea dispose of the firea (int) 34. Transfero	ct, and complete. I have ction A (and Section C tion 20a (and my rever current State Laws and rm(s) listed on this for	e read and understand to if the transfer does not of ilication at the time of tr I Published Ordinances.	ne Notices, Instructions, accur on the day Section ansfer if the transfer does it is my belief that it is not in Section A.  (Seller's Title **) 36.	A was com-
NOTICES, INSTRUCTIONS AN	D DEFINITIONS		r the buyer discover that an		

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. § 923 may determine if he or she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the buyer of certain restrictions on the receipt and possession of firearms. This form should only be used for sales or transfers where the seller is licensed under 18 U.S.C. § 923. The seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the seller must be familiar with the provisions of 18 U.S.C. § 921-931 and the regulations in 27 CFR Part 478. In determining the lawfulness of the sale or delivery of a long gun (rifle or shoigun) to a resident of another State, the seller is presumed to know the applicable State laws and published ordinances in both the seller's State and

After the seller has completed the firearms transaction, he or she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his or her permanent records. Such Forms 4473 must be retained for at least 20 years. Filing may be chronological (by date), alphabetical (by name), or numerical (by transaction serial number), as long as all of the seller's completed Forms 4473 are filed in the same manner. FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not complete after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his or her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name) or chronological (by date of transfere's certification) order.

If you or the buyer discover that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and you or the buyer wish to make a record of your discovery, then photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. You only should make changes to Sections B and D. The buyer should only make changes to Sections A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of your permanent records.

Over-the-Counter Transaction: The sale or other disposition of a firearm by a seller to a buyer, at the seller's licensed premises. This includes the sale or other disposition of a rifle or shotgun to a nonresident buyer on such premises.

State Laws and Published Ordinances: The publication (ATF P 5300.5) of State firearms laws and local ordinances ATF distributes to licensees.

Exportation of Firearms: The State or Commerce Departments may require you to obtain a license prior to export.

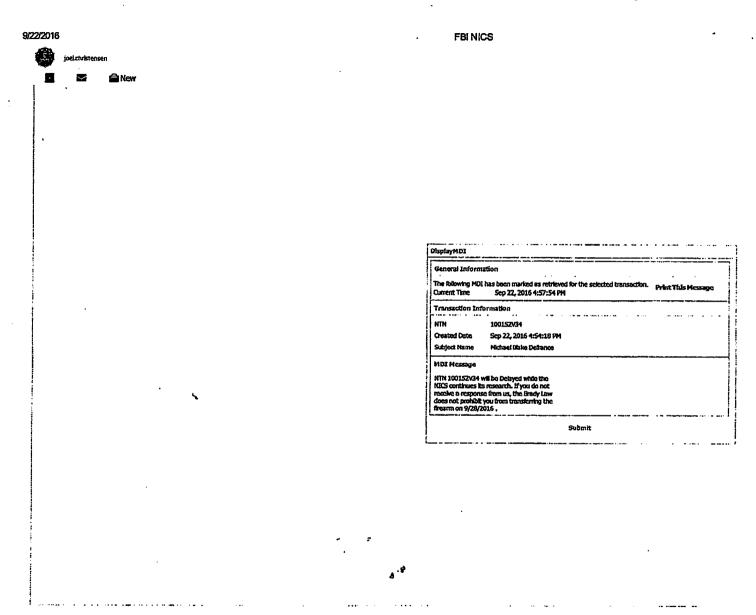
#### Section A.

Question 1. Transferee's Full Name: The buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the seller. Two persons (other than the seller) must then sign as witnesses to the buyer's answers and signature.

When the buyer of a firearm is a corporation, company, association, partnership, or other such business entity, an officer authorized to act on behalf of the

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the buyer's State.



**UNDER SEAL - Page 13** 

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OMB No. 1140-002

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

Francisco Colo

# Firearms Transaction Record

WARNING: You may not ree determine whether you are pr seq., are punishable by up to 1	ohibite	d from receivi	ng a firear	m. Certain v	riolations of	info	ormation yo Gun Contro	u provide will be l Act, 18 U.S.C. 9	used to 21 et.	Transfe Transe Num		Serial
Read the Notices, Instructions, includes business temporarily colocated) unless the transaction	onduct	ed from a quall	fying gun si	how or event i	in the same i	State	in which the	licensed premise	s is	CPIO D		
Section A - Must Be Completed Personally By Transferee/Buyer												<u>~</u> _
1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "10" after the initial. If no middle initial or name, record "NMN".)												
Last Name (Including suffix (e.g., Jr, Sr, II, III))  Pirst Name  Middle Name  BLAKE												
2. Current State of Residence and Address (U.S. Postal abbreviations are acceptable. Cannot be a post office box.)												
Number and Street Address   City   County   State   Zi												Code 808
3. Place of Birth			L		5. Weight	6. S	ex	7. Birth Date				
U.S. City and State	-OR-	Foreign Count	ry	Ft. 5	(Lbs.)	V.	Male		Day	[3	/ear	
SALEM, OR				In11	145		Female	07	11		1993	
<ol><li>Social Security Number (Option 540-43-0932)</li></ol>	ional, i	but will help pre	vent misiden		9. Unique I Instructions	erso for (	nal Identifica Question 9.)	ation Number (U)	PIN) if app	licable (	See	
10,a. Ethnicity					or more ra	ce in	10.b. Both	10.a. and 10.b. no	ust be ans	wered.)		<del></del>
☐ Hispanic or Latino ☑ Not Hispanic or Latino	-	merican Indian sian	or Alaska N		Black or Af			cific Islander	Whit	e		
			arking "ves							<del></del>	īv.	s No
11. Answer the following questions by checking or marking "yes" or "no" in the boxes to the right of the questions.  a. Are you the actual transferee/buyer of the firearm(s) listed on this form? Warning: You are not the actual transferee/buyer if you are acquiring the firearm(s) on behalf of another person. If you are not the actual transferee/buyer, the licensee cannot transfer the firearm(s) to you. Exception: If you are picking up a repaired firearm(s) for another person, you are not required to answer 11.a. and may										\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\		
proceed to question 11.b. (See Instructions for Question 11.a.)  b. Are you under indictment or information in any court for a felony, or any other crime for which the judge could imprison you for more than one year? (See Instructions for Question 11.b.)										e E		
c. Have you ever been convicted even if you received a shorter	d in any	court of a felo	ny, or any o	other crime fo	r which the j	udge	could have	imprisoned you fo	or more the	n one ye	ar,	
d. Are you a fligitive from justice				<del></del>				7, 1				1 2
e. Are you an unlawful user of, Warning: The use or posses decriminalized for medicina	ssion o	f marifuana re	mains unla	wful under I	ederal law	tic d rega	rug, or any c rdless of wh	other controlled si acther it has been	ibstance? legalized	or		
f. Have you ever been adjudicate (11,5)	ed as a	mental defectiv	e OR have	you ever been	n committed	to a	mental instit	utlon? (See Instru	ctions for	Question		1 2
g. Have you been discharged fro	m the	Armed Porces (	ınder dishoı	norable cond	itions?		-			·····		10
h. Are you subject to a court ord (See Instructions for Question	ler restr 11.h.)	aining you from	n harassing,	, stalking, or t	hreatening y	our c	child or an in	timate partner or	child of su	ch partne	r?	
i. Have you ever been convicted	l in any	court of a misc	lemeanor cr	rime of domes	stic violence	7 (Se	e Instruction	s for Question ! !	.f.)			V
12.a. Country of Citizenship: (C	check/L	ist more than o S.A.)	<i>ne, if applic</i> Other Co	cable. Nation untry/Countri	als of the Ur les (Spécify)	ited	States may o	heck U.S.A.)			·l	···
12.b. Have you ever renounced	your U	nited States citi	zenship?								Ye	s No
12.c. Are you an alien illegally o				?				···				
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)												
12.d.2. If "yes", do you fall with	in any	of the exception	ıs stated in t	the instruction	ns?					₹ N/A		
13. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):										-1	<del></del> -	
Previous Editions Are Obsolete Page 1 of 6				nsferec/Buye LE IF PAGE						ATP E-Fon		

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I certify that my answers in Section A are true, cor Form 4473. I understand that answering "yes" to Federal law, and may also violate State and/or loca and/or 12.b. through 12.c. is prohibited from purel prohibited from receiving or possessing a firearm, 18.c. I also understand that making any false oral transaction, is a crime punishable as a folony under purchase of firearms for the purpose of resale for I Instructions for Question 14.)	question 11.a. if I am not a il law. I understand that s hasing or receiving a firea unless the person answers or written statement, or ea r Federal law, and may als	the actual transferce/buyer is person who answers "yes" i rm. I understand that a pers wyes" to question 12.d.2. an khibiting any faise or misrep so violate State and/or local l	o a crime punish to any of the que ton who answers d provides the d resented identifi aw. I further un	able as a felony under stions 11.b. through 11.1 "yes" to question 12.d.1. is ocumentation required in cation with respect to this derstand that the repetitive			
14/ Transferee's/Buyer's Signature				15. Certification Date			
Miller 1 18 18 18 18 18 18 18 18 18 18 18 18 1	A STANSON AND A			2-24-18			
	ction B - Must Be Comple						
16. Type of firearm(s) to be transferred (check or man	rk all that apply):	17. If transfer is at a qualifying	ng gun show or c	vent:			
(rifles or See Instrasholguns)	uctions for Question 16.)	Name of Function:					
18.a. Identification (e.g., Virginia Driver's license (V Issuing Authority and Type of Identification							
• • •	Number on Identification		L	of Identification (if any) ay   Year			
MT CDL	0704319934111			2019			
18.b. Supplemental Government Issued Documentation	I on (if identification docume	nt does not show current reside	1 * *				
18.b.)				, -			
18.c. Exception to the Nonimmigrant Alien Prohibitic documentation showing the exception to the pro	on: If the transferee/buyer a hibition and attach a copy t	nswered "YES" to 12.d.2. the to this ATF Form 4473. (See In	transferor/seller n structions for Qu	nust record the type of estion 18.c.)			
Questions 19, 20, or 21 Must Be Complete	ed Prior To The Transfer	Of The Firearm(s) (See Instri	uctions for Quest	ons 19, 20 and 21.)			
19.a. Date the transferee's/buyer's identifying informa transmitted to NICS or the appropriate State age	tion in Section A was	19.b. The NICS or State trans					
Month Day Year Zul	8	165	m s	7223			
19.c. The response initially (first) provided by NICS of agency was:	or the appropriate State	19.d. The following response appropriate State agence	(s) was/wore late	received from NICS or the			
Proceed Delayed		Proceed 31118 (date) Overturned					
Denied [The farearm(s) may b	•	Cancelled	(date	s)			
Cancelled 3118 irsia	ate law permits (optional)]	No response was provide	ed within 3 busing	s) ess days.			
19.e. (Complete if applicable.) After the firearm was	transferred, the following re	gronge was received from NIC	S or the convon	lata State agency on			
<b></b>		enied Cancell		and beate agency on.			
19.f. The name and Brady identification number of the	1 <del></del>			NICS check. (Optional)			
	The than the terms of the terms	, is is a second	noyee Completing	s racs check. (Optional)			
(name)	(number)						
No NICS check was required because a back NFA firearm(s), as reflected on the approved	NFA application. (See Ins	tructions for Question 20.)					
21. No NICS check was required because the tra exemption to NICS. (See Instructions for Qu	nsferee/buyer has a valid posterion 21.)	ermit from the State where the	transfer is to take	place, which qualifies as an			
	-	Expiration Date ((f any)	Permit Num	ber (if any)			
Section C	C - Must Be Completed Pe	rsonally By Transferec/Buye	r				
If the transfer of the firearm(s) takes place on a different Section C immediately prior to the transfer of the firear	nt day from the date that the m(s). (See Instructions for	transferee/buyer signed Section Question 22 and 23.)	on A, the transfer	ee/buyer must complete			
I certify that my answers to the questions in Section							
22. Transferee's/Buyer's Signature			23. Recei	tification Date			
- Miday Setter			3-	2-15-			
	Fransferor/Sciler Continu APLE IF PAGES BECOM			ATF E-Form 4473 (5300.9) Revised October 2016			

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Section D - Must Be C	Completed By	Fransferor/Se	ller Even If The	Firearm(s) is No	t Transferred	
24, Manufacturer and Importer (If any) (If the manufacturer and Importer are different, the FFL must include both.)	25. Model (If Designated)		26. Serial Numbe		27. Type (See Instruction for Question 27.)	28. Caliber or Gauge
1. STEVENS ARMS	200	G490490			RIFLE	300 WIN MAG
2.	$\mathcal{M}$		1/		\/	
3.	X		X		X	X
4. [	//				6	
REMINDER - By the Close of Business Complete	e ATF Form 3	310.4 For Mu	ltiple Purchases	of Handguns Wi	thin 5 Consecutive Bu	siness Days
<ol> <li>Total Number of Firearms Transferred (Please two, three, etc. Do not use numerals.) ONE</li> </ol>		rinting e.g., ze.			is transaction is a pawi From Question 24 Above	•
	SPC			(See Instructions	on is to facilitate a prive for Question 32.)	•
<ol> <li>Trade/corporate name and address of transferor Number X-XX-XXXXXX.) (Hand stamp may be u</li> </ol>		eral Firearm L	oense Number (M	fust contain at lea	st first three and last fl	ve digits of FFL
CASH 1 PAWN INC 8720 ROLLERCOASTER R	•	MT 40909 0	-01_01041			
			_			
The Person For Denied/Cancelled Tran				te Questions 34-3  B Must Comple		
I certify that: (1) I have read and understand the	o Notices, Insti	ructions, and	Definitions on th	is ATF Form 44	3; (2) the information	recorded in
Sections B and D is true, correct, and complete; a premises" includes business temporarily conduct	and (3) this ent led from a qua	iire transactio Iifving gun sh	n record has bee	n completed at n	ny licensed business p which the licensed pro	remises ("licensed mises is located)
unless this transaction has mot the requirements	of 18 U.S.C. 92	22(c). Unless	this transaction (	has been denied	or cancelled, I further	certify on the
basis of — (1) the transferee's/buyer's responses	in Section A (a	nd Section C,	if applicable); (3	2) my verification	of the identification	recorded in
question 18 (and my re-verification at the time of —it is my belief that it is not unlawful for me to	stransier, y Se sell, deliver, tr	<i>cnon C was c</i> ansnort, or of	<i>impietea)</i> ; and (3 Therwise dispose	) State or local i: of the firearm(s)	iv applicable to the ti	rearms business the person
identified in Section A.			<u> </u>			
34. Transferor's/Seller's Name (Please print)		ror's/Sellor's S	Shature	36. Transferor's PAWN BROI		. Date Transferred
NOTICES, INSTRUCTIONS, AND DE	FINITIONS	<del>JIJA W</del>	$\overline{\hspace{1cm}}$	TAWNDRO	(131)	2/2/10
.,			FORMS 4473 FOR	DENIED/CANCE	lled transfers mu	ST BE RETAINED:
Purpose of the Form: The information and certification of	on this form are d	esigned	transfer is not com	lirearm is denied/ca pleted after a NICS	ncelled by NICS, or if for check is initiated, the lice	any other reason the
so that a person licensed under 18 U.S.C. 923 may determine the following the second s			ATF Form 4473 in	his/her records for	at least 5 years. Forms 44	73 with respect to
lawfully sell or deliver a firearm to the person identified in the transferes/buyer of certain restrictions on the receipt ar	Section A, and to d possession of	o alert	which a sale, delive	ery, or transfer did i	ot take place shall be sepi r chronological <i>(by date o</i>	trately retained in
firearms. The transferor/seller of a firearm must determine	the lawfulness o	f the	certification) order	me oj transjereej u	i circonological (oy aate o	j iransjeree's
transaction and maintain proper records of the transaction.	Consequently, the	ne	•		1,1	
transferor/seller must be familiar with the provisions of 18 regulations in 27 CFR Parts 478 and 479. In determining t	ha jawihinass of	ano uno the sale	If the transferor/sel	ler or the transferes	/buyer discovers that an A fter the firearm has been to	TF Form 4473 is
or delivery of a rifle or shotgun to a resident of another Sta	ite, the		transferor/seller or	the transferee/buye	wishes to correct the omi	ssion(s) or
transferor/seller is presumed to know the applicable State I ordinances in both the transferor's/seller's State and the tran	aws and publishe	d State	error(s), photocopy	the inaccurate form	and make any necessary	additions or
· (See ATP Publication 5300.5, State Laws and Published O	rdinances.)	statu.	Sections B and D.	The transferce/buye	eror/seller should only ma er should only make chang	es to Section A and
Generally, ATF Form 4473 must be completed at the licen	sed business pren	nises	C. Whoever made	the changes should	Initial and date the change iginal Form 4473 and reta	s. The corrected
when a firearm is transferred over-the-counter. Federal lav allows a licensed importer, manufacturer, or dealer to sell t	v. 18 U.S.C. 922(	(c),	transferor's/seller's	permanent records.	igina. I om vers and roa	nod as plat of the
nonlicensee who does not appear in person at the licensee's	business premis	es only	Exportation of Pic	rearms: The State	or Commerce Department	e may raavira a
if the transferee/buyer meets certain requirements. These r forth in section 922(e), 27 CFR 478.96(b), and ATF Proceed	equirements are a	sct	firearms exporter to exposts a firearm w	o obtain a license pr without proper autho	ior to export. Warning: rization may be fined not a nore than 20 years. See 2	Any person who more than
After the transferor/seller has completed the firearms transmake the completed, original ATF Form 4473 (which inclu	action, he/she mu	st				- 0.0.0, 21 10(b).
General instructions, and Definitions), and any supporting	documents, part	of his/			Section A	
her permanent records. Such Forms 4473 must be retained	for at least 20 ye	ars and	The transferee/buye	er must personally o	emplete Section A of this	form and certify
after that period may be submitted to ATF. Filing may be of disposition), alphabetical (by name of purchaser), or nur			(sign) that the answ	ers are true, correct	, and complete. However	, if the transferce/
sertal number), as long as all of the transferor's/seller's confiled in the same manner.			completed by anoth	er person, excludin	e answers <i>(other than the .</i> g the transferor/seller. Tw gn as witnesses to the tran	o persons (other

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

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ATF E-Form 4473 (5300.9) Revised October 2016

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2/24/2018 Application

#### **General Information**

The following MDI has been marked as retrieved for the selected transaction.

Current Time Feb 24, 2018 5:41:14 PM

#### **Transaction Information**

NTN

100JMSSST

Created Date

Feb 24, 2018 5:07:11 PM

Subject Name

MICHAEL BLAKE DEFRANCE

#### **MDI Message**

NTN 100JM\$SST will be Delayed while the NICS continues its research, if you do not receive a response from us, the Brady Law does not prohibit you from transferring the firearm on 3/1/2018.

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Application 3/1/2018

Search Request Details

The following is a list of detailed information for the selected transaction.

100JMSSST

**Created Date** 

2/24/18 5:07 PM

**Expiration Date** 

3/26/18 6:07 PM

Last Name

**DEFRANCE** 

First Name

MICHAEL

Middle Name

BLAKE

Cadence (Ex. Jr.,

**Not Supplied** 

Sr., III)

Gender

М

Height

511

Weight

145

Race and/or Ethnicity

Date of Birth

7/11/1993

Place of Birth

OR

Social Security No 540430932

UPIN

**Not Supplied** 

·Miscellaneous No Not Supplied Miscellaneous Fleld

State of Residence MT

Citizenship

C US

Country of

**Citizenship** 

Country of **Not Supplied** Citizenship 2

Country of

**Not Supplied** 

Citizenship 3 Purpose ID

joel.christensen

Submitted By Retrieved By

joel.christensen

Retrieved Date

3/1/18 12:57 PM

Status

Proceed

Status Date

1

3/1/2018 11:20 AM

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OMB No. 1140-0020

U.S. Department of Justice Bureau of Alcohol, Tobacco, Firearms and Explosives

#### Firearms Transaction Record

WARNING: You may not receiv determine whether you are proh seq., are punishable by up to 10	ibite	d from receivi	ng a fireari	n. Certain v	iolations of						action ber [[	Ser Lay	ial
Read the Notices, Justructions, as includes business temporarily con-	ducte	d from a quali	fying gun sh	ow or event	in the same S	State	in which the	licensed premise	s is	CPII	voll 1	29	8
located) unless the transaction qualifies under 18 U.S.C. 922(c). All entries must be handwritten in ink. "PLEASE PRINT."  Section A - Must Be Completed Personally By Transferee/Buyer											<u> </u>		
1. Transferee's/Buyer's Full Name (If legal name contains an initial only, record "IO" after the initial. If no middle initial or name, record "NMN".)													
Last Name (Including suffix (e.g.,	Jr, Si	, II, III))	First Name					Middle Name					
DEFRANCE			MICHAI					BLAKE					
Current State of Residence and Number and Street Address	Add	ess (U.S. Post		tions are acc	eptable. Ca	unot		ffice box.)		٠	711		
												980	
							1		•				
3. Place of Birth				4. Height	5. Weight	6. S	ex	7. Birth Date		-'			
U.S. City and State -C	R-	Poreign Count	ту	Ft. 5	(Lbs.)	Ø	Male	Month	Day		Year	•	
SALEM, OR				In11	145		Female	07	11		1993	3	
8. Social Security Number (Option 540-43-0932	nal, i	nut will help pre	vent misiden	tification)			nal Identifica Question 9.)	ation Number (UI	PIN) if app	icable (	See		
								10.a. and 10.b. ni					
Hispanic or Latino Not Hispanic or Latino	<u> </u>	merican Indian sian	or Alaska N	lative	Black or Af			cific Islander	✓ Whit	e			
11. Answer the following question			arking "yes"	or "no" in							15	es	No
<ul> <li>Are you the actual transferce/bu acquiring the firearm(s) on bo firearm(s) to you. Exception:</li> </ul>	yer c chalf <i>lf yo</i>	of the firearm(s of another per u are picking t	) listed on the rson. If you up a repaire	is form? Wa are not the	rning: You actual trans	are i	ot the actua e/buyer, the	il transferce/buy licensce cannot	transfer th	e		2	
b. Are you under indictment or ini year? (See Instructions for Que	forms	tion in any cou		ny, or any ot	her crime for	whi	ch the judge	could imprison ye	ou for more	than o	ne [	5	<u> </u>
c. Have you ever been convicted in even if you received a shorter se	n any	court of a felo	ny, or any o	ther crime fo	r which the	judge	could have	imprisoned you fo	or more the	n one y	ear,	5	<b>V</b>
d. Are you a fugitive from justice?								<del></del>	<del></del>		<del>- </del>  -	7	V
e. Are you an unlawful user of, or	<u></u>			<del></del>	nulant, narc	otic d	rug, or any o	other controlled Su	bstance?		+	=	<u> </u>
Warning: The use or possessi decriminalized for medicinal of	on o	fmarijuana re	mains unia	wful under l	Federal law	rega				or			V
f. Have you ever been adjudicated 11.f.)	as a	mental defectiv	ve OR have	you ever bee	n committed	to a	mental instit	ution? (See Instru	ections for	Questio	ם "		V
g. Have you been discharged from	the .	Armed Forces	under dishou	norable cond	litions?							]	Ø
h. Are you subject to a court order (See Instructions for Question I		aining you from	n heressing,	stalking, or t	threatening y	our (	child or an in	timate partner or	child of su	ch partn	er?		V
i. Have you ever been convicted in	n any	court of a mis	demeanor cr	ime of dome	stic violence	? (Se	e Instruction	s for Question I l	.i.)				<u>S</u>
12.a. Country of Citizenship: (Che  ✓ United States of America				able. Nation untry/Countr		nited	States may o	check U.S.A.)					
												es	No
12.b. Have you ever renounced your United States citizenship?										_[		V	
12.c. Are you an allen illegally or unlawfully in the United States?										[	] [	Ø	
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)											ןכ	Ø	
12.d.2. If "yes", do you fall within	any	of the exception	ns stated in (	he instruction	ns?					<b>√</b> N//	<u> </u>	] [	
13. If you are an alien, record your	U.S	-Issued Alien	or Admissio	n number (A)	R#. USCIS#,	or 19	74#):						
Previous Editions Are Obsolete Page 1 of 6		-		nsferee/Buy LE LF PAGE			_			ATF E-Foi Revised O			00.9)

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I certify that my answers in Section A are true, correct, and complete. I have read and understand the Notices, Instructions, and Definitions on ATF

Form 4473. I understand that answering "yes" to a Federal law, and may also violate State and/or local and/or 12.b. through 12.c. is prohibited from purch prohibited from receiving or possessing a firearm, 18.c. I also understand that making any false oral a transaction, is a crime punishable as a felony under purchase of firearms for the purpose of resale for it Instructions for Question 14.)	law. I understand that a asing or receiving a firea unless the person answers or written statement, or e Federal law, and may als	person who answers "yes" ( rm. I understand that a pers "yes" to question 12.d.2. an khibiting any false or misrep so violate State and/or local l	to any of the que ton who answer d provides the resented identi aw. I further a	estions 11.b. ( 's "yes" to que documentatio fication with a anderstand the	through 11.i estion 12.d.1. is n required in respect to this at the repetitive						
14. Transferee's/Buyer's Signature				15. Certific	ation Date						
Milland of	<del></del>	·		120-5	-1-18						
	ction B - Must Be Comple										
16. Type of firearm(s) to be transferred (check or mar	k all that apply):	17. If transfer is at a qualifying	ng gun show or	event:							
	earm (frame, receiver, etc. uctions for Question 16.)	Name of Function:									
18.a. Identification (e.g., Virginia Driver's license (VA DL) or other valid government-issued photo identification.) (See Instructions for Question 18.a.)  Issuing Authority and Type of Identification  Number on Identification    Expiration Date of Identification (if any)											
MT	0704319934111		l '	Day	Year						
DL		_	07	11	2019						
18.b. Supplemental Government Issued Documentation 18.b.)	n (if identification docume	nt does not show current resid	ence address) (S	See Instruction	s for Question						
18.c. Exception to the Nonimmigrant Alien Prohibition: If the transferee/buyer answered "YES" to 12.d.2, the transferor/seller must record the type of documentation showing the exception to the prohibition and attach a copy to this ATF Form 4473. (See Instructions for Question 18.c.)											
Questions 19, 20, or 21 Must Be Complete		Of The Firearm(s) (See Instr	uctions for Ques	stions 19, 20 a	nd 21.)						
19.a. Date the transferee's/buyer's identifying informat transmitted to NICS or the appropriate State age	ion in Section A was ncy:	19.b. The NICS or State tran	saction number	(if provided) v	/as:						
Month Day Year ZO18			Wel	J Z-	1						
<ol> <li>The response initially (first) provided by NICS o agency was:</li> </ol>	r the appropriate State	19.d. The following response appropriate State agence	y:		m NICS or the						
Proceed Delayed		Proceed(date), Overturned									
Denied [The firearm(s) may be	e transferred on	Denied	•	ate)							
Cancelled 3818 if Sta	ite law permits (optional)]	Cancelled No response was provide	*	ness days.							
19.e. (Complete if applicable.) After the firearm was	ransferred, the following re	sponse was received from NI	CS or the appro	priate State age	ency on:						
(date).	Proceed D	enied Cancel	led								
19.f. The name and Brady identification number of the	NICS examiner. (Optiona	1) 19.g. Name of FFL Emp	oloyee Complet	ing NICS chec	k. (Optional)						
(name)	(number)										
20. No NICS check was required because a back NFA firearm(s), as reflected on the approved	ground check was complete NFA application. (See Ins	ed during the NFA approval pr structions for Question 20.)	rocess on the inc	dividual who w	ill receive the						
21. No NICS check was required because the training exemption to NICS. (See Instructions for Qu	nsferee/buyer has a valid pression 21.)	ermit from the State where the	transfer is to ta	ke place, which	n qualifies as an						
Issuing State and Permit Type Date of Is	suance (if any)	Expiration Date (if any)	Permit Nu	mber (if any)	•						
Section C	- Must Be Completed Pe	rsonally By Transferec/Buye	er		<del></del>						
If the transfer of the firearm(s) takes place on a differer Section C immediately prior to the transfer of the firear	nt day from the date that the m(s). (See Instructions for	e transferee/buyer signed Secti Question 22 and 23.)	on A, the transf	eree/buyer mu	st complete						
	certify that my answers to the questions in Section A of this form are still true, correct, and complete.										
2. Transferce's/Buyer's Signature  23. Recertification Date											
Miller of the same	ransferor/Seller Continu	e to Next Page		10-15							
	IPLE IF PAGES BECON				E-Porm 4473 (5300.9) ed October 2016						

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Section D - Must Bo	Completed By	Fransferor/Selier Even If The Firearm(s) is N	ot Transferred				
24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Number	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge			
1. SMITH & WESSON	60 LADY SMITH	DAW6108	REVOLVER	357 MAG			
2. \ /	\/	\/	\ \ (	\[			
3.	Y	X	Y	X			
4.	1	(	1 ./				
REMINDER - By the Close of Business Comp	cte ATF Form 3	310.4 For Multiple Purchases of Handguns V	Vithin 5 Consecutive Busin	ness Days			
29. Total Number of Firearms Transferred (Pleatowo, three, etc. Do not use numerals.) ON	se handwrite by p IE		this transaction is a pawn r From Question 24 Above:	edemption.			
31. For Use by Licensee (See Instructions for Que 406-499-1373		Delen (see Instructio	tion is to facilitate a private ns for Question 32.)				
33. Trade/corporate name and address of transfer Number X-XX-XXXXX) (Hand stamp may be	used.)		east first three and last five	digits of FFL			
CASH I PAWN INC 8720 ROLLERCOASTER							
		The Firearm(s) Must Complete Questions 34 erson Who Completed Section B Must Comp					
I certify that: (1) I have read and understand	he Notices, Insti	ructions, and Definitions on this ATF Form 4	473; (2) the information r	ecorded in			
Sections B and D is true, correct, and complete	; and (3) this ent	lire transaction record has been completed at	my licensed business pre	mises ("licensed			
premises" includes business temporarily conducted from a qualifying gun show or event in the same State in which the licensed premises is located) unless this transaction has met the requirements of 18 U.S.C. 922(c). Unless this transaction has been denied or cancelled, I further certify on the							
basis of — (1) the transferee's/buyer's responses in Section A (and Section C, if applicable); (2) my verification of the identification recorded in							
question 18 (and my re-verification at the time	of transfer, if Se	ection C was completed); and (3) State or local	law applicable to the fire	arms business			
— it is my belief that it is not unlawful for me identified in Section A.	io sell, deliver, tr	ansport, or otherwise dispose of the firearm(	s) listed on this form to th	e person			
34. Transferor's/Seller's Name (Please print)	35. Transfe	ror's/Sellqr's Signature 36. Transferor	s/Seller's Title   127	Date Transferred			
Stephen Setting	Lil	PAWN BRO	1	10/16			
NOTICES, INSTRUCTIONS, AND D	EFINITIONS	FORMS 4473 FOR DENIED/CANO	PELLED TO ANGEEDO MUCO	DE DETAINED.			

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully sell or deliver a firearm to the person identified in Section A, and to alert the transferce/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CFR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor/seller's State and the transferoe's/buyer's State. (See ATF Publication 5300.5, State Laws and Published Ordinances.)

Generally, ATF Form 4473 must be completed at the licensed business premises whon a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(c), allows a licensed importer, manufacturer, or dealer to sell a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/sho must make the completed, original ATF Form 4473 (which includes the Notices, General instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filed in the same manner.

FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF Porm 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferor/seller or the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or arror(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Section B and D. The transferor/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor/s/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(o).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

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ATF E-Porm 4473 (5300.9) Revised Octobor 2016

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OMB No. 1140-0020

U.S. Department of Justice .
Bureau of Alcohol, Tobacco, Firearms and Explosives

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#### Firearms Transaction Record

	- ACM-												
WARNING: You may not receive a firearm if prohibited by Federal or State law. The information you provide will be used to determine whether you are prohibited from receiving a firearm. Certain violations of the Gun Control Act, 18 U.S.C. 921 et. seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.							on Se	rial					
seq., are punishable by up to 10 years imprisonment and/or up to a \$250,000 fine.  Read the Notices, Instructions, and Definitions on this form. Prepare in original only at the licensed premises ("licensed premises"   Cflue 126													
includes business temporarily c	onducted fr	rom a qualij	fying gun sh	ow or eve	ent li	n the same S	tale	in which the	licensed premisi	zs is	_	•	17(1
located) unless the transaction	qualifies u									T."	Redu	<u> </u>	
1 m - 6 - 1 m - 1 n 1 3 1	401							ransferce/Bu					
1. Transferee's/Buyer's Fuil Nat Last Name (Including suffix (e.g.			<i>ains an initic</i> First Name	al only, r	ecor	d "IO" after	the i		<i>middle initial or</i> Middl <del>e</del> Name	патв, гесо	rd "NMN".	)	
DEFRANCE	5., <i>Ur</i> , Dr, 11,	, 111/)	MICHAE	77.					BLAKE				
2. Current State of Residence at	nd Address	/IIS Post			2000	ntable Ca	nnof	he a neet of					
Number and Street Address		•	City	10113 GT 0	HOU	ppearie. Ca	.,	County	ince box.,		State 1	ZIP C	ode
1035 GRIZZLY MOU	NTAIN:	ROAD	MISSO	ULA				MIŚSOI	JLA		MT	598	
3. Place of Birth	<del> </del>		<u> </u>	4. Heig	ht	5. Weight	6. S	ex	7. Birth Date			*******	
•	-OR- For	reign Count	ry	Ft. <u>5</u>		(Lbs.)	abla	Male	Month	Day	Ye	ar	
SALEM, OR				In	<u> </u>	145		Female	07	, 11	19	993	
<ol><li>Social Security Number (Option 540-43-0932)</li></ol>	tional, but r	will help pre	vent misident	lification)		9. Unique P Instructions			tion Number (U	PIN) if app	licable (Sec	!	
10.a. Ethnicity	10.b. Race	e (In additio	on to ethnici	ty, select	one	or more rac	e in	10.b. Both .	0.a. and 10.b, n	ust be ans	wered.)		
Hispanic or Latino	I==		or Alaska N	ative	=	Black or Afi				Whit	te		
Not Hispanic or Latino	L Asian			<u> </u>	<u> </u>	Native Haw	ilan	or Other Pac	ific Islander				
11. Answer the following quest a. Are you the actual transferee.	tions by che	cking or m	arking "yes"	or "no"	'in t	he boxes to	he ri	ght of the qu	estions.	!£		Yes	No
acquiring the firearm(s) on firearm(s) to you. Exception proceed to question 11.b. (Se	behalf of a n: If you a	another per <i>re picking t</i>	rson. If you <i>up a repaired</i>	are not	the	actual trans	fere	e/buyer, the	licensce cannot	transfer tl	ie .	Ø	
b. Are you under indictment or year? (See Instructions for Q	information	n in any cou		ny, or any	y oth	er crime for	whi	ch the judge	could imprison y	ou for mor	e than one		7
c. Have you ever been convicted in any court of a felony, or any other crime for which the judge could have imprisoned you for more than one year,						<u> </u>	7						
even if you received a shorter sentence including probation? (See Instructions for Question 11.c.)						$\vdash$							
d. Are you a fugitive from justice? (See Instructions for Question 11.d.)  e. Are you an unlawful user of, or addicted to, marijuana or any depressant, stimulant, narcotic drug, or any other controlled substance?							쁜						
Warning: The use or posse decriminalized for medicing	ssion of m	arijuana re	mains unla	wful und	ler F	ederal law	rega	rug, or any o rdless of wh	ther controlled s ether it has bee	ubstance? n legalized	or		Ø
f. Have you ever been adjudical // f.)	ted as a me	ntal defectiv	e OR have	you ever	beer	committed	to a	mental instit	ution? <i>(See Instr</i>	uctions for	Question		Ø
g. Have you been discharged fro	om the Arn	ned Forces t	ınder dishon	orable c	ondi	itions?				.,,			v
h. Are you subject to a court ord (See Instructions for Question		ing you from	n harassing,	stalking,	or t	breatening y	our c	bild or an in	timate partner or	child of su	ch partner?		Ø
i. Have you ever been convicted	d in any co	urt of a mis	demeanor cri	ime of do	omes	tic violence	(Se	e Instruction	s for Question 1	l.i.)		厅	v
12.a. Country of Citizenship: (	Check/List	more than o	ne, if applica	able. Na	ition	als of the Un	ited	States may c	heck U.S.A.)			.1=	
✓ United States of Amer						es (Specify)		-	•				
												Yes	No
12.b. Have you ever renounced	your Unite	d States citi	zenship?			•							②
12.c. Are you an alien illegally or unlawfully in the United States?													
12.d.1. Are you an alien who has been admitted to the United States under a nonimmigrant visa? (See Instructions for Question 12.d.)							V						
12.d.2. If "yes", do you fall within any of the exceptions stated in the instructions?													
13. If you are an alien, record your U.SIssued Alien or Admission number (AR#, USCIS#, or 194#):								ıI					
Previous Editions Are Obsolete		· · · · · · · · · · · · · · · · · · ·			•	r Continue							
Page 1 of 6			· STAPL	le if Pa	<b>IGE</b>	S BECOM	c se	PARATED			ATP E-Form - Revised Ootol		

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I certify that my answers in Section A are true, correct, and Form 4473. I understand that answering "yes" to question 1 Rederal law, and may also violate State and/or local law. I u and/or 12.b. through 12.c. is prohibited from purchasing or prohibited from receiving or possessing a firearm, unless the 18.c. I also understand that making any false oral or written transaction, is a crime punishable as a folony under Federal purchase of firearms for the purpose of resale for livelihood Instructions for Question 14.)  14. Transferee's/Buyer's Signature	1.a. if I am not the nderstand that a preceiving a firearm person answers " statement, or exhaus, and may also	e actual transferee/buyer is person who answers "yes" to n. I understand that a perst 'yes" to question 12.d.2. and ilbiting any faise or misrepr y violate State and/or local is	a crime punished any of the que on who answers of provides the desented identifies.  I further un	able as a felo stions 11.b. a "yes" to que ocumentatio cation with a derstand the Federal law	my under through 11.i estion 12.d.1. is a required in respect to this at the repetitive . (See
- Chicked States	<b></b>			15. Certific	ation Date 25 - 18
	Aust Be Complete	d By Transferor/Seller			<u></u>
16. Type of firearm(s) to be transferred (check or mark all that of		7. If transfer is at a qualifyin	g gun show or e	vent:	<del></del>
Handgun Long Gun Other Firearm (fran See Instructions for shotguns)	Question 16.)	Name of Function:			
18.a. Identification (e.g., Virginia Driver's Iloense (VA DL) or a Issuing Authority and Type of Identification Number of					
MT 07043	n Identification 19934111	1	Expiration Date of Month		ion <i>(if any)</i> Year
CDL				11	2019
18.b. Supplemental Government Issued Documentation (Videntil8.b.)					
18.c. Exception to the Nonimmigrant Alien Prohibition: If the transfer documentation showing the exception to the prohibition and	d attach a copy to t	this ATF Form 4473. (See Ins	tructions for Qu	estlon 18.c.)	
Questions 19, 20, or 21 Must Be Completed Prior T					
19.a. Date the transferee's/buyer's identifying information in Sec transmitted to NICS or the appropriate State agency:	tion A was	9.b. The NICS or State transc	action number (1)	(provided) w	as:
Month Od Day 25 Year 2014		188P	P126	Į.	
19.c. The response initially (first) provided by NICS or the approagency was:    Proceed   Delayed   The firearm(s) may be transferr   Cancelled   To if State law periods   The firearm(s) may be transferr   The firearm(s) may be transferred   The firearm	ed on [[mils (optional)]	9.d. The following response( appropriate State agency Proceed 332 Denied Cancelled No response was provided	(date	i) e) ess days.	Overturned
19.e. (Complete If applicable.) After the firearm was transferred	the following resp	ponse was received from NIC	S or the appropri	iate State age	ncy on:
(date). Proceed	☐ Den	ied Cancelle	d		
19.f. The name and Brady identification number of the NICS exa	miner. (Optional)	19.g. Name of FFL Empl	oyee Completing	NICS check	. (Optional)
(name) (n	umber)	<del>-  </del>			
20. No NICS check was required because a background che NFA firearm(s), as reflected on the approved NFA appl	ication. (See Instri	uctions for Question 20.)			
21. No NICS check was required because the transferee/buy exemption to NICS. (See Instructions for Question 21.)	er has a valid pern	nit from the State where the tr	ransfer is to take	place, which	qualifies as an
Issuing State and Permit Type Date of Issuance (If a		xpiration Date (if any)	Permit Numl	ber (if any)	
Section C - Must Bo	Completed Pers	onally By Transferee/Buyer			
If the transfer of the firearm(s) takes place on a different day from Section C immediately prior to the transfer of the firearm(s). (See	the date that the tr Instructions for Q	ransferee/buyer signed Section buestion 22 and 23.)	A, the transfere	e/buyer mus	t complete
I certify that my answers to the questions in Section A of this i		-			
22. Transferee's/Buyer's Signature	<del></del>			tification Dat	e
Transferor	Seller Continue t	n Nevt Page	9-1-	14	<del></del>
	AGES BECOME			ATF E- Rovisco	Form 4473 (5300.9) I October 2016

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Section D. Must D.	Completed De.	Punnefanan Kallan Bara 1877	h - 51			
		Fransferor/Seller Even If T	ne Firearm(s) is Not			
24. Manufacturer and Importer (If any) (If the manufacturer and importer are different, the FFL must include both.)	25. Model (If Designated)	26. Serial Nun	ıber	27. Type (See Instructions for Question 27.)	28. Caliber or Gauge	
1. REMINGTON	770	M71863512		RIFLE	243 WIN	
2.	\ \ \ \ \	17		• •		
3.	X	X		X	X	
4.		/\				
REMINDER - By the Close of Business Comp	ete ATF Form 3	310.4 For Multiple Purchas	es of Handguns Wit	hin 5 Consecutive Busi	ness Days	
29. Total Number of Firearms Transferred (Pleatwo, three, etc. Do not use numerals.) On				s transaction is a pawn r rom Question 24 Above:	•	
31. For Use by Licensee (See Instructions for Que \$130 + DA 21	1-0361	[	(See Instructions	-		
Number X-XX-XXXXX.) (Hand stamp may be	33. Trade/corporate name and address of transferor/seller and Federal Firearm License Number (Must contain at least first three and last five digits of FFL Number X-XX-XXXXX.) (Hand stamp may be used.) CASH 1 PAWN INC 8720 ROLLERCOASTER RD MISSOULA, MT 59808 9-81-01841					
		The Firearm(s) Must Comerson Who Completed Sect				
I certify that: (1) I have read and understand Sections B and D is true, correct, and complete premises" includes business temporarily condumntess this transaction has met the requirement basis of—(1) the transferee's/buyer's responsequestion 18 (and my re-verification at the time—it is my belief that it is not unlawful for metidentified in Section A.	the Notices, Instite in the Notices, Instituted (3) this entite in the interest of 18 U.S.C. 92 in Section A (a of transfer, if Se	ructions, and Definitions on the transaction record has lifying gun show or event in 22(c). Unless this transaction and Section C, if applicable action C was completed); and	this ATF Form 447; been completed at m the same State in w on has been denied o b; (2) my verification i (3) State or local la	3; (2) the information r y licensed business pre thich the licensed prem r cancelled, I further c of the identification re w applicable to the fire	mises ("licensed ises is located) ertify on the corded in earms business	
34. Transferor's/Seller's Name (Please print)  511 1 5	1 //	reff's/Seller's Signature	36. Transferor's/S BROKER	Seller's Title 37.	Date Transferred	
NOTICES, INSTRUCTIONS, AND DEFINITIONS  FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED:  If the transfer of a firearm is deniet/cancelled by NICS or if for any other reason the						

Purpose of the Form: The information and certification on this form are designed so that a person licensed under 18 U.S.C. 923 may determine if he/she may lawfully soil or deliver a firearm to the person identified in Section A, and to alert the transferee/buyer of certain restrictions on the receipt and possession of firearms. The transferor/seller of a firearm must determine the lawfulness of the transaction and maintain proper records of the transaction. Consequently, the transferor/seller must be familiar with the provisions of 18 U.S.C. 921-931 and the regulations in 27 CPR Parts 478 and 479. In determining the lawfulness of the sale or delivery of a rifle or shotgun to a resident of another State, the transferor/seller is presumed to know the applicable State laws and published ordinances in both the transferor/seller's State and the transferoe's/buyer's State. (See ATF Publication 5300.5, State Laws and Published Ordinances.)

Generally, ATF Form 4473 must be completed at the licensed business promises when a firearm is transferred over-the-counter. Federal law, 18 U.S.C. 922(e), allows a licensed importer, manufacturer, or dealer to soll a firearm to a nonlicensee who does not appear in person at the licensee's business premises only if the transferee/buyer meets certain requirements. These requirements are set forth in section 922(e), 27 CFR 478.96(b), and ATF Procedure 2013-2.

After the transferor/seller has completed the firearms transaction, he/she must make the completed, original ATF Form 4473 (which includes the Notices, General Instructions, and Definitions), and any supporting documents, part of his/her permanent records. Such Forms 4473 must be retained for at least 20 years and after that period may be submitted to ATF. Filing may be chronological (by date of disposition), alphabetical (by name of purchaser), or numerical (by transaction serial number), as long as all of the transferor's/seller's completed Forms 4473 are filled in the same manuer.

FORMS 4473 FOR DENIED/CANCELLED TRANSFERS MUST BE RETAINED: If the transfer of a firearm is denied/cancelled by NICS, or if for any other reason the transfer is not completed after a NICS check is initiated, the licensee must retain the ATF Form 4473 in his/her records for at least 5 years. Forms 4473 with respect to which a sale, delivery, or transfer did not take place shall be separately retained in alphabetical (by name of transferee) or chronological (by date of transferee's certification) order.

If the transferor/seller or the transferee/buyer discovers that an ATF Form 4473 is incomplete or improperly completed after the firearm has been transferred, and the transferor/seller or the transferee/buyer wishes to correct the omission(s) or error(s), photocopy the inaccurate form and make any necessary additions or revisions to the photocopy. The transferor/seller should only make changes to Section B and D. The transferee/buyer should only make changes to Section A and C. Whoever made the changes should initial and date the changes. The corrected photocopy should be attached to the original Form 4473 and retained as part of the transferor/s/seller's permanent records.

Exportation of Firearms: The State or Commerce Departments may require a firearms exporter to obtain a license prior to export. Warning: Any person who exports a firearm without proper authorization may be fined not more than \$1,000,000 and/or imprisoned for not more than 20 years. See 22 U.S.C. 2778(c).

#### Section A

The transferee/buyer must personally complete Section A of this form and certify (sign) that the answers are true, correct, and complete. However, if the transferee/buyer is unable to read and/or write, the answers (other than the signature) may be completed by another person, excluding the transferor/seller. Two persons (other than the transferor/seller) must then sign as witnesses to the transferee's/buyer's answers and signature/certification in question 14.

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ATF E-Form 4473 (5300,9) Revised October 2016

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30/2018	Application
Search Reque	
The following is a	list of detailed information for the selected transaction.
NTN	100PYP1ZR
Created Date	8/25/18 8:05 PM
Expiration Date	9/24/18 8:05 PM
Last Name	Defrance
First Name	Michael
Middle Name	Blake
Cadence (Ex. Jr., Sr., III)	Not Supplied
Gender	M
-lelght	511
Weight	145
Race and/or Ethnicity	W
Date of Birth	7/11/1993
Place of Birth	OR •
Social Security No	
JPIN	Not Supplied
Miscellaneous No	Not Supplied
Miscellaneous Field	i
State of Residence	MT
Citizenship	c .
Country of Citizenship	US
Country of Citizenship 2 Country of	Not Supplied
Citizenship 3	Not Supplied
<sup>o</sup> urpose ID	10
Submitted By	stephen.sethney
Retrieved By	joel.christensen
Retrieved Date	8/30/18 12:56 PM
Status	Proceed
Status Date	8/29/2018 9:11 PM

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#### U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Denver Field Division

WWW.atf.gov

September 16, 2021

Michael Blake DEFRANCE 1035 Grizzly Mountain Road Missoula, MT. 59808

#### WARNING NOTICE OF PROHIBITED FIREARM TRANSACTION

The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is the government agency responsible for enforcing Federal firearms laws. Information received by ATF indicates you have attempted to acquire one or more firearms, while you are prohibited from receiving or possessing a firearm. This letter officially notifies you that, because of your misdemeanor conviction for domestic violence, Federal law prohibits you from receiving or possessing a firearmor ammunition. Federal law also provides that it shall be unlawful to give false information to a Federal firearms licensee (FFL) during the acquisition or attempted acquisition of a firearm or ammunition.

Specifically, Federal law at Title 18, Chapter 44 United States Code (U.S.C.), Sections 922(g) (9), provide, that it is unlawful for any person who has been convicted in any court of a misdemeanor crime of domestic violence, to ship, transport, possess or receive any firearm or ammunition that has affected interstate or foreign commerce. Further, Title 18, Chapter 44 U.S.C., Sections 922(a)(6) and 924(a)(1)(A), provide that it is unlawful for any person to make a false statement to an FFL when acquiring or attempting to acquire a firearm or ammunition. For example, it is unlawful to misrepresent on a Firearms Transaction Record Part 1-Over-the-Counter, ATF Form 4473, that you are not prohibited from receiving or possessing a firearm by answering "No" to Question 11.(i), or making any other false representations. Any person under a Federal firearms disability who acquires a firearm or ammunition, or who makes a false statement or misrepresentation to an FFL when acquiring or attempting to acquire a firearm or ammunition may be imprisoned for up to ten (10) years and/or fined up to \$250,000. See Title 18, Chapter 44 U.S.C. Sections 922(g), and 924(a)(2).

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You are hereby advised that you are prohibited from receiving or possessing a firearm or ammunition because of your prior misdemeanor domestic violence conviction. On 04/14/2013, you were arrested for a misdemeanor crime of domestic violence, PFMA, by the Sanders County Sheriff's Office, MT, and you were convicted of the MT Domestic Violence statute 45-5-206 (1) (a) which is a federal prohibiting conviction, on 05/17/2013. Your conviction is and has been prohibiting since 05/17/2013. If your conviction has been set aside or expunged you must obtain a copy of the court record or other legal document and file an appeal with the FBI NICS system. Instructions for filing an appeal are attached to this letter. If you are currently in possession of a firearm and/or ammunition that you previously possessed or received while under a Federal firearms disability, you have several options. In some instances, you may return the firearm immediately to the FFL. To discuss other options, you must contact Special Agent \_\_\_\_\_\_\_ at (406) \_\_\_\_\_\_\_ immediately. You will be required to sign this Warning Notice and return a copy to ATF even if you have already returned the firearm to the FFL.

Be advised this Warning Notice does not constitute a bar to the United States, or any State or municipality, to prosecuting you for any criminal offense related to any unlawful firearm transaction. If you have any questions or have information concerning any illegal firearm purchases, please contact this office at (406) 441-3140.

#### **Craig Howe**

Resident Agent in Charge
Bureau of Alcohol, Tobacco Firearms and Explosives
Helena Field Office
Missoula Satellite Office

Warning Notice Serve	d by:	
Special Agent, ATF:		
Date Served:		
Location Served:	via US mail	·
ATF Return Address:	200 East Broadway Room 334 Missoula, MT 59808	
(if notice mailed)	Missouia, MT 39000	
•	receipt of this Warning Notice:	
Recipient's Name (Print	ed):	
Recipient's Signature:		-
Date Signed by Recipier	nt:	

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#### U.S. Department of Justice

Bureau of Alcohol, Tobacco, Firearms and Explosives

Resident Agent in Charge

Billings, Montana 59101 www.atf.gov

#### **September 16, 2021**

Mr. Michael Blake DEFRANCE 1035 Grizzly Mountain Road Missoula, MT 59808

#### Dear Mr. DEFRANCE:

The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) has been notified that you purchased or redeemed from pawn;

- 1) Purchased a Taurus Judge in February 2015,
- 2) Redeemed a Remington 770 in September 2016,
- 3) Redeemed a Stevens 200 in March 2018 (and appears to have sold back the Taurus Judge

for the money to redeem the Stevens 200)

- 4) Purchased a Smith & Wesson Model 60 Ladysmith in March 2018,
- 5) Redeemed the Remington 770 for the second time in August 2018.

You received these firearms in violation of Federal Law. Information available to the Federal Bureau of Investigation (FBI) and ATF indicates that you may be a person who is prohibited from possessing firearms.

Specifically, Federal law at Title 18, Chapter 44 United States Code (U.S.C.), Sections 922(g) (9), provide, that it is unlawful for any person who has been convicted in any court of a misdemeanor crime of domestic violence, to ship, transport, possess or receive any firearm or ammunition that has affected interstate or foreign commerce. Further, Title 18, Chapter 44 U.S.C., Sections 922(a)(6) and 924(a)(l)(A), provide that it is unlawful for any person to make a false statement to an FFL when acquiring or attempting to acquire a firearm or ammunition. For example, it is unlawful to misrepresent on a Firearms Transaction Record Part 1 - Over-the-Counter, ATF Form 4473, that you are not prohibited from receiving or possessing a firearm by answering "No" to Question 11.(i), or making any other false representations. Any person under a Federal firearms disability who acquires a firearm or ammunition, or who makes a false statement or misrepresentation to an FFL when acquiring or attempting to acquire a firearm or ammunition may be imprisoned for up to ten (10) years and/or fined up to \$250,000. See Title 18, Chapter 44 U.S.C. Sections 922(g), and 924(a)(2).

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To resolve this matter, you should contact this office as soon as possible. The office is located at 200 East Broadway, Room 334, Missoula, MT 59808. The telephone number is 406-441-3160. Agent Michael Sprenger, assigned to this field office, will do everything possible to assist you in you with alternatives for lawfully disposing of the firearm.

As you may know, the Brady law requires Federal firearms licensees to contact the National Instant Criminal Background Check System (NICS) prior to transferring a firearm to any person who is not licensed under the provisions of the Gun Control Act (GCA). The purpose of the NICS check is to determine whether the purchaser is disabled from possessing and/or receiving firearms under Federal law. The GCA sets forth various categories of persons who are prohibited from receiving and/or possessing firearms under Federal law. The categories of prohibited persons are defined in 18 U.S.C. Sections 921 and 922, and in part 178 of the Code of Federal Regulations.

#### Mr. Michael Blake DEFRANCE

NICS is administered by the Federal Bureau of Investigation (FBI). The Brady Law provides a period of 3 business days for the background check to be conducted. After those 3 days the dealer may transfer the firearm to the purchaser.

However, the FBI will search records for up to 20 days to determine whether a purchaser is prohibited from possessing firearms under Federal law. If the FBI determines the person is prohibited from possessing a firearm that information is forwarded to the local office of the Bureau of Alcohol, Tobacco, Firearms and Explosives for further action.

Again, you must contact ATF as soon as possible to resolve this matter. You are prohibited from receiving or possessing firearms under Federal law, your continued possession may subject you to criminal prosecution. A conviction for a violation of the Federal firearms laws is a felony punishable by imprisonment of a term of not more than \$250,000.

Craig Howe
Resident Agent in Charge

**Enclosure:** 

**Acknowledgment Form** 

#### **ACKNOWLEDGMENT**

Michael Blake DEFRANCE is prohibited from po	ossessing and/or receiving firearms under
Federal Law. Michael Blake DEFRANCE wish	es to transfer a Hi-Point, model CF380, .380
caliber semi-auto pistol, S/N BCP54581, to	(person who accepts the
firearm) who does not live in the same residence M	lichael Blake DEFRANCE.
	who accepts the firearm) acknowledges that
he/she may not return the firearm described above,	· · · · · · · · · · · · · · · · · · ·
<b>DEFRANCE</b> or to any other person living in Mic	hael Blake DEFRANCE residence.
Michael Blake DEFRANCE and	(person who accepts the
firearm) understand that actual or constructive poss	session and/or receipt of a firearm by a
prohibited person is punishable by a term of imprismore than \$250,000.	
more than \$250,000.	
(Person who ac	cepts firearm) and Michael Blake
DEFRANCE	•
also understand that knowingly providing a firearm	to a prohibited person may subject
(the person who acco	epts firearm) to Federal prosecution.
Signature of person accepting the firearm	Date
Signature of Michael Blake DEFRANCE	Date
Signature of ATF Special Agent	Date

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From:

Pickles, Dana

To: Cc: Clark, Jennifer (USAMT) Weldon, Ryan (USAMT)

Subject:

RE: Need help

Date:

Friday, September 17, 2021 9:10:45 AM

**Attachments:** 

image001.jpg image004.jpg image002.jpg image006.jpg image008.jpg

A delay is caused when something that is possibly prohibiting appears on the subjects record and requires NICS to research it to determine if the subject is prohibited or not for firearms possession. A proceed occurs once the subject is determined not to be prohibited.

#### For example:

I try to purchase a firearm and NICS runs my background check and they find that I have a misd battery arrest from 1996 with no final disposition. As battery is all meets in all states for the use or threatened use of physical force in regards to misd crimes of domestic violence (MCDVs... federally prohibiting under 18USC922g9) they have to research to see if A) I was convicted (and if so was if for the battery charge or was it reduced to assault, disorderly conduct, etc) and B) what was the relationship of the victim to me. Only certain relationships meet federally (such as spouse/ ex spouse, cohabitating or formerly cohabitating boyfriend/girlfriend, share a child in common, etc).

While NICS is researching this information I would be in a delayed status. After the 3<sup>rd</sup> business day (called the brady date) if NICS has still not determined if I am prohibited or not I can legally take possession of the firearm. Once NICS determines that I am *not* prohibited (let's say I battered my brother on the battery example I gave; that relationship does not meet federally for MCDV) they would proceed me and I could get the firearm if I haven't already taken possession of it.

Dana Pickles
Program Analyst
Denial Enforcement & NICS Intelligence Branch
US Dept. Of Justice Bureau of ATF
304.616.4181



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ATF	LGOG	NEW	2		 	
				?		



Please consider the environment before printing this e-mail.

From: Clark, Jennifer (USAMT) < JClark1@usa.doj.gov>

Sent: Friday, September 17, 2021 10:23 AM To: Pickles, Dana < Dana. Pickles@atf.gov>

Cc: Weldon, Ryan (USAMT) < RWeldon@usa.doj.gov>

Subject: RE: Need help

Thank you! That information is very helpful. I do have one question on proceed. What causes a proceed versus delayed response?

From: Pickles, Dana < Dana. Pickles@atf.gov> Sent: Friday, September 17, 2021 5:33 AM

To: Clark, Jennifer (USAMT) < JClark1@usa.doi.gov> Cc: Weldon, Ryan (USAMT) < RWeldon@usa.doj.gov>

Subject: RE: Need help

Hi all.

When a subject attempts to purchase a firearm in an FBI NICS state (only 37 states currently use NICS for all firearms background checks with another 6 states only using NICS for long gun checks) they will receive one of three responses: proceed, denied or delayed (also called "open").

A proceeded NTN can be immediate or can occur after several days (or longer) if NICS needs to research any potential prohibitions found on the subjects record. While NICS is researching any potential prohibitions the NTN is put in a delayed status. The firearm can be legally transferred after 3 business days. Once NICS has completed their research and determined to proceed the transaction the NTN (NICS transaction number) is purged by law from NICS system at midnight the night of the proceed. NICS will no longer have any information related to that attempted purchase.

A denied NTN can also be immediate or can occur after several days (or longer) if NICS needs to research any potential prohibitions found on the subjects

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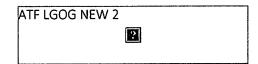
record. While NICS is researching any potential prohibitions the NTN is put in a delayed status. The firearm can be legally transferred after 3 business days. However, if the subject is ultimately denied the denial comes over to the ATF (via an electronic batch file) the next day and if the firearm had already been transferred the DENI branch will refer the NTN to the filed for firearm retrieval (referred delayed). If the subject did not get the firearm the DENI branch will either refer it to the field (referred standard) if it meets that field divisions referral guidelines or the NTN will be set to not referred if it does not meet that field divisions referral guidelines. All denials stay in our host application (ANR) permanently.

A delayed (or open) NTN occurs when FBI NICS does not have the necessary information to proceed or deny a subject. The firearm can be legally transferred after 3 business days if the NTN has been delayed. If NICS never makes a proceed or denied determination the NTN is purged off of the NICS system after 88 days. NICS will no longer have any information related to that attempted purchase.

I should note that most of the big box stores (Walmart, Bass Pro, etc) will never transfer without a proceed but almost all of the smaller FFL's will make the transfer if the subject is not denied by the 3<sup>rd</sup> business day.

I hope this helps clarify the process for you. Feel free to let me know if you have any other questions or concerns.

Dana Pickles Program Analyst Denial Enforcement & NICS Intelligence Branch US Dept. Of Justice Bureau of ATF 304.616.4181





Please consider the environment before printing this e-mail.

From: Clark, Jennifer (USAMT) < <a href="mailto:IClark1@usa.doj.gov">IClark1@usa.doj.gov</a>>

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Sent: Thursday, September 16, 2021 6:18 PM To: Pickles, Dana < Dana. Pickles@atf.gov>

Cc: Weldon, Ryan (USAMT) < RWeldon@usa.doj.gov>

Subject: RE: Need help

#### **Analyst Pickles:**

Thank you so much for your information and help on this matter. Can you please explain the difference, if any, between a delayed, no response, and then after three days the firearm can be transferred vs. the delayed and a proceed notice. What triggers a proceed response? Some of the paperwork we received with the 'proceed' response said that it would be delayed while NICS conducted its research but that the firearm could be transferred in three days. Is that true for all proceed responses?

Thank you! Jen

Jennifer S. Clark Assistant U.S. Attorney U.S. Attorney's Office - District of Montana PO Box 8329 | Missoula, MT 59807 (406)329-4265 | ☑ Jennifer.Clark2@usdoj.gov

From: Feuerstein, Stephen V. < Stephen. Feuerstein@atf.gov>

Sent: Thursday, September 16, 2021 11:05 AM

To: Clark, Jennifer (USAMT) < JClark1@usa.doj.gov>; Sprenger, Michael R.

<Michael.Sprenger@atf.gov> Subject: FW: Need help

Importance: High

Well, I was correct they have been purged and NICS did not complete their investigation properly, thus they allowed a prohibited person to have the firearms. With no court of record to prove he was provided the admonishment this is not a good situation. Sorry to be the bearer of bad news. Below is the information from our NICS liaison which confirms all. I have drafted two letters, admonishment and notification, feel free to utilize either, both, whatever is needed to provide to DEFRANCE's attorney. The second letter actually has an acknowledgement form as the last page which has blank spots for the third party and DEFRANCE to fill in and acknowledge. This is what we utilize for such occasions, actually use these when we get a NICS or learn of a prohibited person who has acquired firearms with there being an agent of the state providing based on no response from NICS.

Stephen (Steve) Feuerstein Investigative Analyst (IA) **DFD NICS Coordinator** ATF Billings Field Office

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2929 3<sup>rd</sup> Ave. North Ste. 528

Billings, MT. 59101 Ph. 406-657-9700 Fax. 406-657-9701

From: Pickles, Dana < <u>Dana.Pickles@atf.gov</u>>
Sent: Thursday, September 16, 2021 10:03 AM

To: Feuerstein, Stephen V. < Stephen. Feuerstein@atf.gov>

Cc: Kimes, Christine N. < <a href="mailto:Christine.Kimes@atf.gov">Christine.Kimes@atf.gov">Christine.Kimes@atf.gov</a>>; Reeves, Carol A. < <a href="mailto:Carol.Reeves@atf.gov">Carol.Reeves@atf.gov</a>>

**Subject:** FW: Need help **Importance:** High

Hi Stephen.

Christine is out of the office until Tuesday and is on a detail until 10/11. I will try to assist you with your request.

You reference denied, proceeded and "no answer" transactions for this subject. The DENI branch only gets denied transactions from FBI NICS. We do not get proceeds or delays (which I'm guessing are the ones you referred to as "no answer"). Proceeds are purged by NICS the night they are proceeded and are gone forever by law. If no decision is ever made on a delayed transaction they are purged by NICS after 88 days and are gone forever by law.

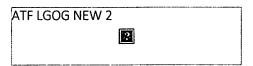
Looking at the NTNs on the attached 4473's you submitted I found the following:

- 1. NTN 2TLN6WG the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
- 2. NTN 10015ZV34 the 4473 was marked DELAYED (box 21c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)

- 3. NTN 100JMSSST the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.
- 4. NTN 100JW6NZT- the 4473 was marked DELAYED (box 19c). As I do not see this NTN in ANR that tells me it was not denied. It was either proceeded (and then purged off NICS system) or was left delayed (delays purge off NICS system after 88 days)
- 5. NTN 100PYP1ZR the 4473 was marked DELAYED (box 19c) and then was updated to PROCEED (box 19d). As the subject was proceeded the NTN purged by law off NICS system midnight the night of the proceed.

In summary, I do not see where the subject was every denied on any of the attached 4473's. The subject was proceeded twice and left in a delayed status on the other three transactions and I can only assume those NTNs were never resolved and thus purged by NICS after 88 days. As the FFL is allowed to transfer the firearm(s) after 3 business days all the transfers were done legally.

I hope that helps. Dana Pickles Program Analyst Denial Enforcement & NICS Intelligence Branch US Dept. Of Justice Bureau of ATF 304.616.4181



Please consider the environment before printing this e-mail.

From: Reeves, Carol A. < Carol.Reeves@atf.gov> Sent: Thursday, September 16, 2021 11:38 AM To: Pickles, Dana < Dana. Pickles@atf.gov >

Cc: Feuerstein, Stephen V. < Stephen. Feuerstein@atf.gov>

Subject: FW: Need help Importance: High

Dana,

Since you are acting for Christine, can you help Stephen? I can't locate any of the ntn's listed on the forms in ANR as well.

Thanks

Carol Ann Reeves

Sr. Specialist, Denial Enforcement and NICS Intelligence Branch 244 Needy Road Martinsburg, WV 25401 (304) 616-4182 Toll Free Fax (866) 561-2048 email:Carol.Reeves@atf.gov

From: Feuerstein, Stephen V. < Stephen.Feuerstein@atf.gov>

Sent: Thursday, September 16, 2021 11:33 AM

To: Kimes, Christine N. < <a href="mailto:Christine.Kimes@atf.gov">Carol A. <a href="mailto:Carol.Reeves@atf.gov">Carol A. <a href="mailto:Carol.Reeves@atf.gov">Carol.Reeves@atf.gov</a>>

Subject: Need help

Christine and or Carol, we have a subject here in MT that has had multiple transactions, some denied, some proceed and some no answer from NICS. I tried to pull up the Brady reports in ANR and they must have been purged or not entered as there was a proceed or no action was ever entered. The US Attorney has charged the subject and he is claiming that the government never told him he was prohibited by his DV conviction. Unfortunately the court issuing the DV conviction was not a court of record at that time and we have no way of determining if the judge advised of the prohibition. As such I am trying to obtain the Brady reports or any of the information that NICS input into their research on each NTN for each purchase.

Who do I need to contact to help with this? Is this even a possibility? I have attached each 4473 which contain the NTN #'s. Thank you for your help.

Stephen (Steve) Feuerstein Investigative Analyst (IA) DFD NICS Coordinator ATF Billings Field Office 2929 3<sup>rd</sup> Ave. North Ste. 528 Billings, MT. 59101 Ph. 406-657-9700 Fax. 406-657-9701